Disclosures

Conflicts of Interest and Gift, Travel and Entertainment and Questionnaires

If we’re going to make sure our employees are disclosing what they need to disclose, that process needs to be easy; it can’t be cumbersome or confusing. They need to be able to use an intuitive interface that allows them to complete their obligations and move on. We realized that competitive advantage in Convercent.

Mike Ward
Deputy General Counsel, VP & Chief Compliance Officer
Juniper Networks

Increase Visibility and Reduce Risk

Convercent Disclosures provides you with the ability to track, manage and monitor disclosures on an ongoing basis. Convercent’s straightforward interface separates disclosure management from traditional case management to encourage employees to report potential conflicts of interest.

- **Enable employees to update** and maintain their disclosure status at anytime, eliminating the need to recreate previous disclosures while reducing your teams’ need to constantly follow-up.
- **Correlate disclosure information** with helpline-reported issues to visualize potential conflicts and prevent them from becoming issues.
- **Allow ethics and compliance** professionals to proactively gather information and complete due diligence to protect their organization.

- **Gauge the effectiveness** of your disclosure program by viewing questionnaire progress and tracking completion rates in real time.
- **Save valuable time** and gain ethics and compliance team efficiency by removing the need for manual disclosure collections.
Smart Disclosure Workflows

Automate the distribution of questionnaires to targeted groups by using attributes from your HR system. Customize workflows with specific messages and reminders, and then track completion rates. Step in only when your input is required to review, update or archive disclosures.

- **Rules-based campaigns** deliver targeted questionnaires to the right audience, at the right time. For example, director-level questionnaires can be automatically sent when Convercent recognizes a title change from manager to director.

- **Drive completion** with predefined messages and reminders leading up to, and after, the due date. Utilize escalation emails to notify managers of delinquent employees.

Identify Potential Issues Before They Happen

Convercent Disclosures gives ethics and compliance professionals a central dashboard for disclosure information, empowering them to analyze potential risks and maintain compliance with FSG, SOX, NYSE 303A, COSO, and more.

- Integration with leading HR Software
- Monitor dashboards & KPIs
- Compare employee activity with actions
- Get a full 360° view of campaigns, training and policy in the context of your entire compliance program

Featured Integration: Travel & Expenses with SAP Concur

By integrating data from SAP Concur with GT&E disclosure data from Convercent, you can proactively review and communicate relevant information. Send the right information at the right time so employees can easily understand and fulfill corporate responsibilities during business travel.

- **Enable early detection** of inappropriate requests for GT&E
- **Increase visibility** into high-risk travel transactions through analysis of COI records
- **Reduce operational costs** to the organization of non-compliant transactions.
- **Send employees personalized, real-time reminders** via text message or push notification of relevant policies.

Call our team
For more information

US: 1-866-403-2713
EMEA: +44 (0)203-965-0767
www.convercent.com